



Disclosure Policy

Last Updated: 01/04/2025

Todi Securities Private Limited ("Company", "We", "Us", "Our") is a SEBI-registered stock broker and a member of **NSE & BSE in the Cash & F&O segments only**. This Disclosure Policy is formulated to provide transparency to our clients regarding our operations, regulatory compliance, risk factors, and other essential aspects in line with SEBI regulations.

1. Regulatory Disclosures

- **SEBI Registration Details:**
 - SEBI Registration Number: INZ000214535
 - Member of: National Stock Exchange (NSE) and Bombay Stock Exchange (BSE)
 - Registered Office: 1, R.N. Mukherjee Road, Martin Burn House, Room no.-12, Kolkata 700 001
- We operate as per SEBI, NSE, and BSE regulations and abide by all applicable rules and guidelines.

2. Investor Risk Disclosure

- **Market Risks:** Investments in securities are subject to market risks, including possible loss of principal.
- **Price Fluctuations:** Prices of securities may fluctuate due to various economic, political, and company-specific factors.
- **Past Performance:** Past performance of any security or financial product is not indicative of future returns.
- **No Guarantee:** We do not guarantee any fixed returns, profit, or capital protection.

3. Margin and Leverage Risks

- Trading in the derivatives segment involves leverage and can lead to significant gains or losses.
- Clients must maintain sufficient margin to avoid position liquidation.
- Margin policies are subject to change as per exchange and regulatory requirements.

4. Proprietary Trading Disclosure

- The Company may engage in proprietary trading alongside client trading activities.
- Proprietary trades are executed separately to ensure no conflict of interest.

5. Execution and Settlement Policy

- Orders are executed on a best-effort basis, subject to liquidity and system availability.
- Settlement of trades is done as per exchange rules and timelines.

6. Third-Party Services and Commissions

- We may receive commissions or fees from third-party service providers such as mutual funds, depository participants, and research firms.
- Any revenue earned from third-party services will be disclosed to clients as per regulatory requirements.

7. Grievance Redressal Mechanism

- Clients can raise complaints via email at [Support Email] or call [Contact Number].



TODI SECURITIES PRIVATE LIMITED

www.todisecurities.com backoffice@todisecurities.com +91 62894 41854

MEMBER: NSE | BSE

CIN: U67110WB1997PTC085325

SEBI REG.: INZ000214535

- If unresolved, clients may escalate the issue to SEBI's SCORES platform or the respective exchange.

8. Systemic and Technical Risks

- Trading services may be affected by technical glitches, network failures, or third-party service interruptions.
- The Company is not liable for losses arising from system downtime or connectivity issues.

9. Amendments to Disclosure Policy

- We reserve the right to update this Disclosure Policy in response to regulatory changes.
- Clients will be notified of updates through email or website notifications.

10. Contact Information

For any queries regarding this Disclosure Policy, you may contact us at: **Todi Securities Private Limited**

Registered Address: 1, R.N. Mukherjee Road, Martin Burn House, Room no.-12, Kolkata 700 001

Phone: +91 62894 41854

Email: backoffice@todisecurities.com

By using our services, you acknowledge that you have read, understood, and agreed to this Disclosure Policy.